ashika

PLEDGE REQUEST FORM(PRF)		
☐ Setup of Pledge	☐ Confirmation of Pledge	☐ Rejection of Pledge

ASHIKA STOCK BROKIG LTD | DP ID-34500 | Trinity, 226/1, AJC Bose Road | 7th Floor, | Kolkata-700020

PRF No.		**Date**							

Please fill all the details in **Block Letters** in English:

I/We request you to create the pledge/confirm the creation of pledge for the following securities .I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

Pledgor's Details :

DP ID								Client ID								

Pledgor's Name	1.	
	2.	
	3.	

Pledgee's Details :

DP ID								Client ID								

Pledgee's Name	1.	
	2.	
	3.	

Details of Securities :	☐ Free Securities	☐ Locked-In-Securities

Details of Securities Offered for Pledge :

Sr. No.	ISIN	Company Name	Quantity	Date of Release (Lock-in)	PSN (System Generated)	Accepted/Rejected by Pledgee	Pledged Value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Execution Date							
Pledge Expiry Date							
Total Pledge Value (Rs.)							
Agreement No.							
Date of Pledging							

Pledge Reason (Tick mark whichever is applicable)	☐ Collateral – Debt I issuance by Co./Grp Co.	☐ Collateral for loan by Co./Group Co.	☐ Collateral for loan by the third party	☐ Margin pledge/MTF for Exchange trade	☐ Personal use by promoters and PACs
PAN of the Ultimate Lender:					

Note: As a pledger and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

Pledge Rejection Details	Pledge Rejection by Pledgor DP	Pledge Rejection by Pledgee DP
Date of Rejection of Pledge		
Pledge Reason [tick mark whichever is applicable]	Units not available for Amount requested.	Units not available for Amount requested.
	Closure Date not accepted.	Closure Date not accepted.
	Pledged Quantity not accepted.	Pledged Quantity not accepted.
	ISIN not accepted.	ISIN not accepted.
	Security details not acceptable.	Security details not acceptable.
	ISIN delisted from Trading.	ISIN delisted from Trading.
	POA not received from all holders.	POA not received from all holders
	Holders not acceptable to the pledgee.	Holders not acceptable to the pledgee.
	Agreement no. differs from that on the agreement.	Agreement no. differs from that on the agreement.
	Others.	Others.
	Transfer Quantity not accepted.	Transfer Quantity not accepted.
	Violation of terms of agreement.	Violation of terms of agreement.
	Closure Quantity not accepted.	Closure Quantity not accepted.
	Pledged amount/ margin not paid.	Pledged amount/ margin not paid.
	Market value of the pledged ISIN is insufficient.	Market value of the pledged ISIN is insufficient.
If you have selected 'Others', then please specify the exact reason for rejection		

To be filled and signed in case of Set-up/Rejection of Pledge by Pledgor BO

First/Sole Applicant	Second Applicant	Third Applicant

To be filled in case of acceptance/Rejection of Pledge by Pledgee BO

Signature of Pledgee(s)*		
First/Sole Applicant	Second Applicant	Third Applicant

*If the Pledgee is a bank DP, the sign a ture of the pledgee need not be taken on the Pledge request form.

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Depository Participant Seal and Signature