



DOCUMENTS CHECKLIST

INDIVIDUALS

1. Photograph
2. Aadhar
3. Proof of Permanent Address
4. Correspondence Address
5. Bank Proof with MICR Code (cancelled Cheque)
6. Demat Proof (required if opening only a trading account)
7. Income Proof (for trading in derivatives segments)
8. Cheque for DP AMC (as per the scheme opted)
9. FATCA declaration
10. ECN (as per client)
11. Running Account Mandate (as per client)

NRI

1. Photograph
2. PAN
3. Aadhar (if available)
4. Proof of Foreign Address
5. Proof of Local Address
6. Additional Documents
 - a. Passport with visa copy/PIO Card/OCI Card
 - b. PIS Declaration Copy
 - c. In case of Merchant Navy NRIs, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate)
7. Bank Proof with MICR Code (Cancelled Cheque)
8. Demat Proof (required if opening only a trading account)
9. Income Proof (for trading in derivatives segments)
10. Cheque for DP AMC (as per the scheme opted)
11. FATCA Declaration
12. ECN (as per client)

HUF

1. Photograph of Karta
2. PAN
3. Proof of Address
 - a. HUF
 - b. Karta
4. Aadhar of Karta
5. Deed of Declaration of HUF/List of coparceners
6. Bank Proof with MICR Code of the HUF (cancelled Cheque)
7. Demat Proof (required if opening only a trading account)
8. Income Proof (for trading in derivatives segments)
9. Cheque for DP AMC (as per the scheme opted)
10. FATCA Declaration
11. UBO Declaration
12. ECN as per client
13. Running Account Mandate (as per client)

CORPORATE

1. Photograph
 - a. Whole time directors/two directors in charge of day to day operations
 - b. Individual promoters holding control – either directly or indirectly
2. Proof of Address
 - a. Whole time directors/two directors in charge of day to day operations
 - b. Individual promoters holding control – either directly or indirectly
3. PAN
 - a. of Company
 - b. Whole time directors/two directors in charge of day to day operations
 - c. Individual promoters holding control – either directly or indirectly
4. Aadhar of person holding attorney to transact on company's behalf
5. DIN Number
 - a. Whole time directors/two directors in charge of day to day operations
6. Bank Proof with MICR Code (cancelled Cheque)
7. Demat Proof (required if opening only a trading account)
8. Income Proof (for trading in derivatives segments)
9. Balance Sheet and Profit & Loss account with net-worth information for last two years or for applicable period.
10. Share Holding Pattern (as of last quarter or more recent)
11. Memorandum and Articles of Association and certificate of incorporation
12. Board Resolution
13. Form 18 with Challan
14. Form 32 with Challan
15. List of Directors
16. Authorized signatories List
17. UBO Declaration
18. Cheque for DP AMC (as per the scheme opted)
19. FATCA declaration
20. ECN (as per client)
21. Running Account Mandate (as per client)

PARTNERSHIP FIRM

1. Photograph of all the Partners
2. PAN -
 - a. Partnership Firm
 - b. All the Partners
3. Proof of Address -
 - a. Partnership Firm
 - b. All the Partners

4. Aadhaar of person holding attorney to transact on Firm's behalf
5. Balance Sheet and Profit & Loss account for last two years or for applicable period.
6. Copy of Partnership Deed
7. Certificate of Registration (for registered Partnership Firms only)
8. Authorized Signatories list with specimen signatures
9. Bank Proof with MICR Code (cancelled Cheque)
10. Demat Proof (required if opening only a trading account)
11. Income Proof (for trading in derivatives segments)
12. UBO Declaration
13. Cheque for DP AMC (as per the scheme opted)
14. FATCA Declaration
15. ECN (as per client)
16. Running Account Mandate (as per client)

LIMITED LIABILITY PARTNERSHIP (LLP)

1. Photograph of all the Partners
2. PAN -
 - a. LLP
 - b. All the Partners
3. Proof of Address -
 - a. LLP
 - b. All the Partners
4. Aadhaar of person holding attorney to transact on firm's behalf
5. Balance Sheet and Profit & Loss account for last two years or for applicable period
6. Net-worth certificate (certified by a CA)
7. Copy of Partnership Deed
8. Certificate of Registration
9. Authorized Signatories list with specimen signatures (as per our format)
10. Bank Proof with MICR Code (cancelled Cheque)
11. Demat Proof (required if opening only a trading account)
12. Income Proof (for trading in derivatives segments)
13. UBO Declaration
14. Cheque for DP AMC (as per the scheme opted)
15. FATCA Declaration
15. ECN (as per client)
16. Running Account Mandate (as per client)

TRUST

1. Photograph of all the signatories
2. PAN -
 - a. Trust
 - b. All the signatories
3. Proof of Address
 - a. Trust
 - b. All the signatories
4. Aadhaar of person holding attorney to transact on Trust's behalf
4. Balance Sheet and Profit & Loss account for last two years of for applicable period.
5. Copy of Trust Deed
6. Certificate of Registration (for registered trusts only)
7. List of Trustees certified by Managing Trustees/CA
8. Bank Proof with MICR Code (cancelled Cheque)
9. Demat Proof (required if opening only a trading account)
10. Income Proof (for trading in derivatives segments)
11. UBO Declaration
12. Cheque for DP AMC (as per the scheme opted)
13. FATCA declaration
14. ECN (as per client)
15. Running Account Mandate (as per client)

FOREIGN INSTITUTIONAL INVESTORS (FIIS)

1. Photograph of all the signatories
2. PAN
 - a. Bank/Institutional Investors
 - b. All the Signatories
3. Proof of Address
4. Copy of the SEBI registration certificate
5. Authorized Signatories list with specimen signatures
6. Bank Proof with MICR Code (cancelled Cheque)
7. Demat Proof (required if opening only a trading account)
8. Income Proof (for trading in derivatives segments)
9. UBO Declaration
10. Cheque for DP AMC (as per the scheme opted)