

**Ashika Stock Broking Limited**

Trinity, 226/1, A.J.C. Bose Road, 7th Floor, Kolkata - 700020

Depository Participant of NSDL

DPID : IN303591

SEBI Regn.No. IN/DP-533-2020

Pledge / Hypothecation Form (Annexure W)

Serial No.		Date :	d	d	m	m	y	y
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Client ID.		Client Name	
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Pl. tick in any one of the applicable boxes	Instruction Type	<input type="checkbox"/> A	<input type="checkbox"/> Pledge	<input type="checkbox"/> B	<input type="checkbox"/> Hypothecation	<input type="checkbox"/> 1	<input type="checkbox"/> Create	<input type="checkbox"/> 2	<input type="checkbox"/> Close	<input type="checkbox"/> 3	<input type="checkbox"/> Invoke	<input type="checkbox"/> 4	<input type="checkbox"/> Unilateral Closure
		<input type="checkbox"/> 5	<input type="checkbox"/> Confirm >>	<input type="checkbox"/> 5a	<input type="checkbox"/> Creation	<input type="checkbox"/> 5b	<input type="checkbox"/> Closure	<input type="checkbox"/> 5c	<input type="checkbox"/> Invocation				

Counterparty Pledgor/Pledgee DP Id	I	N						Counterparty Pledgor/Pledgee DP Name	
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Counterparty Pledgor/Pledgee Client Id								Counterparty Pledgor/Pledgee Client Name	
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Agreement No. (as provided by Pledgee)		Closure Date	d	d	/	m	m	/	y	y
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Sr.No.	ISIN	Security Name	Reasons & Release date for locked-in securities (if any)	Quantity (in figures) (Refer Instruction No.2)	Quantity (in words) (Refer Instruction No.2)	Pledge Order No. (Refer Instruction No.3)	Reasons for rejections (if any) (To be filled in at the time of confirmation)
1	IN						
2	IN						
3	IN						
4	IN						
5	IN						

Execution Date	d	d	m	m	y	y	Maker for Dp use)	Checker (for DP use)
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Sole/1st holder Signature	2nd Holder Signature	3rd Holder Signature
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Instructions:
 1. To be submitted in duplicate for acknowledgement, 2. Pledged, Closure or the invoked quantity to be mentioned as the case may be, 3. Pledge Order No. to be filled in by the client (except at the time of creation of pledge to be filled in by the Participant), 4. Locked-in securities cannot be invoked before the lock-in date, 5. Strike out whichever is not applicable.