NOMINATION FORM FOR DEMAT / TRADING ACCOUNTS

Annexure-A

(FOR INDIVIDUAL APPLYING SINGLY OR JOINTLY)

Details of TM / DP : **ASHIKA STOCK SERVICES LIMITED,** 'TRINITY', 226/1, A.J.C. Bose Road, 7th Floor, Kolkata - 700020

Date	D	D	M	M	Y	Y	Y	Y	DP ID									Client ID								
									UCC																	

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) *

Nomination Details

Nominee	Mandatory Details			Optional Details ***		
	Name of Nominee	Relationship with nominee	D.O.B. of nominee (To be provided in case the nominee is a minor)	Share of nominee (%)**	Mobile number & E-mail	Identity Number
1						
2						
3						

1) I / We want the details of my / our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the DP as follows; (please tick, as appropriate)

☐ Name of nominee(s) ☐ Nomination: Yes / No

2) Signature(s) – As per the mode of holding in demat account(s)

Name(s) of holder(s)		Signature(s) of holder / thumb impression	Signature of two witnesses*	Name of Witness & Address (wherever applicable) *
Sole / 1st Holder (Mr./Ms.)				
2nd Holder (Mr./Ms.)				
3rd Holder (Mr./Ms.)				

* Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Notes:

1. **Any odd lot after division shall be transferred to the first nominee mentioned in the form.
2. *** Provide only number: PAN or Driving License or Aadhaar (last 4 digits). Copy of the document is not required. However, in case of NRI / OCI / PIO, Passport number is acceptable.
3. *** Guardian: It is optional for you to provide, if the nominee is minor.
4. Investors can provide, changes or cancel nominations any number of times.
5. The signature of all the joint holders shall be obtained for providing / changing nomination regardless of mode of operations.
6. This nomination shall supersede any prior nomination made by the investor(s), if any.
7. Investors can change nomination any number of times.
8. Investor have the right to receive acknowledgement of the nomination form for each instance of nomination / subsequent change.

Declaration Form for Opting Out of Nomination

Details of TM / DP :	Date	D	D	M	M	Y	Y	Y	Y

To
ASHIKA STOCK SERVICES LIMITED
'TRINITY', 226/1, A.J.C. Bose Road
7th Floor, Kolkata - 700020

UCC								
DP ID								
Client ID (only for Demat account)								
Sole/First Holder Name								
Second Holder Name								
Third Holder Name								

I hereby confirm that I do not wish to appoint any nominee(s) to my demat account/ mutual fund folio at this point of time.

I understand that

(i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise.

(ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s).

(iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.

I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

Name and Signature of Holder(s)*			
Signature:	1. ______________	2. ______________	3. ______________
Name:	1. ______________	2. ______________	3. ______________

Witness	Witness
Signature: ______________	Signature: ______________
Name: ______________	Name: ______________
Address: ______________	Address: ______________
______________	______________

* Signature of witness, along with name & address are required, if the account holder affixes thumb impression, instead of signature.

DECLARATION

1. I / We have received and read the 'Rights and Obligations' document and 'Terms & Conditions' and agree to abide by and to be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP/ASSL any change(s) in the details / particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

2. The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case of non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

   (Additional declaration applicable only for AoPs for opening of demat accounts in the name of Association of Persons (AoP) itself for holding the permitted Securities) :

   I/we confirm that the AoP holds only such securities in dematerialized form as permitted by the statutes governing its constitution.

   I/we confirm that the demat account is not be used for subscribing / holding other than the permitted securities.

3. I/We confirm having read/been explained and understood the contents of the document on 'Policy and Procedures', Voluntary Document and Tariff Sheet of the Stock Broker.

4. I/We further confirm having received, read and understood the contents of the "Rights and Obligations" and "Risk Disclosure Documents." I/We hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents along with DO's and DON'Ts has been displayed for information on stock broker's designated website.

Name	Sole Applicant / First Signatory / Guardian (in case sole holder is Minor)	Second Applicant / Signatory	Third Applicant / Signatory
Signature(s) of Holder(s) / Signatory(ies) (Plz use Black ink)			
Place		Date	

We undertake that we have made the client aware of 'Policy and Procedures', 'Tariff Sheet' and all the 'Non-Mandatory' documents. We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. We have provided him/it a copy of all the KYC documents. We undertake that any change in the 'Policy and Procedures', 'Tariff Sheet' and all the 'Non-Mandatory' documents would be duly intimated to the clients. We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on our website, if any, for the information of the clients.

Place _____________

Date _____________ **Signature of Authorised Signatory** **Seal / Stamp of Ashika Stock Services Ltd.**